

# Mod $p$ and integral $p$ -adic representations of $\mathrm{GL}_n(\mathbb{Q}_p)$

Abigail Burton

Most of this talk is taken directly from *Ordinary Parts of Admissible Representations of  $p$ -adic Reductive Groups I: Definition and First Properties* by Matthew Emerton as well as Ashwin Iyengar's notes and James Newton's LTCC course on Representations of  $p$ -adic groups.

## 1 Pro- $p$ groups

**(1.1) Definition** (Profinite groups). For us a *profinite group* (resp. a pro- $p$  group) is a compact Hausdorff topological group with a fundamental system of neighbourhoods consisting of normal subgroups of finite index (resp. index  $p$ ) (the *profinite topology*).

A *locally profinite group* is a Hausdorff topological group such that every neighbourhood of the identity is contained in an open compact subgroup, in particular, there is a fundamental system of neighbourhoods of compact open subgroups. A locally profinite group is profinite iff it is compact.

**(1.2) Definition** (Profinite topology). The *profinite topology* on a group is a topology on the underlying set of the group defined in the following equivalent ways:

- It has as a basis of open subsets all left (equiv. right) cosets of subgroups of finite index.
- It has as a basis of opens subsets all cosets of normal subgroups of finite index.

Under this, any group becomes a topological group.

**(1.3) Examples.** We have the following examples of pro- $p$  groups:

- $\mathbb{Z}_p = \varprojlim_n \mathbb{Z}/p^n\mathbb{Z}$ .
- $\mathrm{GL}_n(\mathbb{Z}_p)$  has an open subgroup  $U = 1 + pM_n(\mathbb{Z}_p)$  which is a pro- $p$  group. Further, each  $K_r = 1 + p^r M_n(\mathbb{Z}_p)$  is pro- $p$  ( $K(r)/K(r+1) \cong M_n(\mathbb{F}_p)$  under  $1 + p^s A \mapsto \bar{A}$ ).
- A pro- $p$  group  $G$  is a  $p$ -adic analytic group (group with the structure of an analytic manifold over  $\mathbb{Q}_p$  such that group multiplication and inversion are analytic functions) iff it is of finite rank ( $r > 0$  such that any closed subgroup has a topological generating set with no more than  $r$  elements). This also implies that a pro- $p$  group is  $p$ -adic analytic iff it is isomorphic to a closed subgroup of  $\mathrm{GL}_n(\mathbb{Z}_p)$  for some  $n$ .

**(1.4) Example.**  $\mathrm{GL}_n(\mathbb{Q}_p)$  is a topological group with a unique maximal compact  $K = \mathrm{GL}_n(\mathbb{Z}_p)$  (up to conjugation). Inside  $K$ , we have a filtration  $K(r) = 1 + p^r M_n(\mathbb{Z}_p) \supset K(r+1) \supset \dots$  which form a fundamental system of neighbourhoods of  $1 \in \mathrm{GL}_n(\mathbb{Q}_p)$  so  $\mathrm{GL}_n(\mathbb{Q}_p)$  is locally profinite. In particular, it is Hausdorff, locally compact and totally disconnected.

## 2 Basic structure of $GL_2(\mathbb{Q}_p)$

In this section let  $G = GL_n(\mathbb{Q}_p)$ .

(2.1) **Definition** (Important subgroups).

- The **Borel**  $B = \begin{pmatrix} * & * \\ 0 & * \end{pmatrix}$  upper triangular matrices in  $G$ .
- The **torus**  $T = \begin{pmatrix} * & 0 \\ 0 & * \end{pmatrix}$  diagonal matrices in  $B$ .
- The **unipotent radical**  $U = \begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix}$  upper triangular matrices with 1's on the diagonal in  $B$ .
- If  $G = GL_n$ ,  $n = \sum_{i=1}^r n_i$ , then  $P \cong \begin{pmatrix} GL_{n_1} & & * \\ & \ddots & \\ 0 & & GL_{n_r} \end{pmatrix}$  denotes the **standard parabolic** subgroup of  $G$  with  $r$  blocks of size  $n_1, \dots, n_r$  (so the Borel is  $B = P_{1, \dots, 1}$ ). Note  $B \cong T \times U$  (a case of Levi decomposition).
- Let  $\bar{P}$  denote the opposite parabolic (just the transpose for  $GL_n$ ) and let  $\bar{N}$  be its unipotent radical.
- Let  $\Lambda = \text{diag}(p^{\mathbb{Z}}, \dots, p^{\mathbb{Z}})$  and let  $\Lambda^+ = \{\text{diag}(p^{a_1}, \dots, p^{a_n}) \mid a_1 \geq \dots \geq a_n\}$ . Then  $\Lambda$  runs over cocharacters of  $T$  (homomorphisms  $G_m \rightarrow T$ ).

(2.2) **Proposition** (Levi Decomposition). If  $P \cong \begin{pmatrix} GL_{n_1} & & * \\ & \ddots & \\ 0 & & GL_{n_r} \end{pmatrix}$  is the standard parabolic,

then we can define the **standard Levi** as the corresponding Levi  $M \cong \begin{pmatrix} GL_{n_1} & & 0 \\ & \ddots & \\ 0 & & GL_{n_r} \end{pmatrix} \cong \prod_i GL_{n_i}$  (block diagonal) and let  $U$  denote the unipotent radical  $N \cong \begin{pmatrix} \text{id}_{n_1} & & * \\ & \ddots & \\ 0 & & \text{id}_{n_r} \end{pmatrix}$ .

Then  $P = MN = M \times N$  ( $N \triangleleft P$ ,  $M \cong P/N$ ).

(2.3) **Proposition** (Iwasawa Decomposition). For any  $P$  standard parabolic,  $G = PK$ .

(2.4) **Proposition** (Cartan Decomposition).  $G = K\Lambda^+K = \prod_{\lambda \in \Lambda^+} K\lambda K$ .

This is essentially stating that each element has a Smith normal form.

(2.5) **Remark**. This also implies that  $GL_n(\mathbb{Q}_p)/K$  is countable as  $K\lambda K = \prod_g g\lambda K$  where  $g$  are finitely many coset representatives for  $K/K \cap \lambda K \lambda^{-1}$  (noting that  $K \cap \lambda K \lambda^{-1}$  is compact open in  $K$  so  $K/K \cap \lambda K \lambda^{-1}$  is finite) and a countable union of finite sets is countable.

(2.6) **Proposition** (Bruhat Decomposition).  $GL_n(\mathbb{Q}_p) = BWB$  where  $W = N_{GL_n}(T)/T \cong S_n =$  permutation matrices  $\subset GL_n(\mathbb{Q}_p)$  is the Weyl group.

In the  $n = 2$  case this gives  $GL_2(\mathbb{Q}_p) = B \Pi B \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} B$ .

### 3 Augmented $G$ -representations

From here on let  $G$  be a  $p$ -adic analytic group and let  $A$  be a complete local Noetherian  $\mathbb{Z}_p$ -algebra with finite residue field and maximal ideal  $\mathfrak{m}$ . Let  $\text{Mod}_G(A)$  denote the category of  $A[G]$ -modules.

We wish to define various categories of  $G$ -representations and their relationships to each other.

**(3.1) Definition** (Completed group ring). Let  $H$  be a compact open subgroup of  $G$ . We can define the *completed group ring* of  $H$  over  $A$

$$A[[H]] := \varprojlim_{H' \leq H \text{ open}} A[H/H'].$$

We can endow the rings  $A[H/H']$  with the  $\mathfrak{m}$ -adic topology (that is, we have a fundamental system of open neighbourhoods of 0 given by the submodules  $\mathfrak{m}^n(A[H/H'])$ ). Then we equip  $A[[H]]$  with the projective limit topology (the coarsest topology such that each  $A[[H]] \xrightarrow{f_{H'}} A[H/H']$  is continuous (generated by  $f_{H'}^{-1}(U)$  for  $U$  open)).

Explicitly,  $A[[H]] = \{ \mathbf{a} = (a_{H'})_{H'} \in \prod_{H' \leq H \text{ open}} A[H/H'] \mid a_{H''} = a_{H'} \text{ in } A[H/H'] \text{ for all } H'' \leq H' \}$  so in particular  $A[H] \xrightarrow{a \mapsto (a \bmod H')_{H'}} A[[H]]$ .

**(3.2) Remark.** The rings  $A[H/H']$  are profinite as each  $H/H'$  is finite (by compactness of  $H$ ) and hence  $A[[H]]$  is profinite (the inverse limit of an inverse system of profinite groups with continuous transition maps is profinite). In particular,  $A[[H]]$  is a compact, topological ring.

**(3.3) Fact.**  $A[[H]]$  is Noetherian.

**(3.4) Proposition.** Any finitely generated  $A[[H]]$ -module admits a unique profinite topology with respect to which the  $A[[H]]$ -action becomes continuous.

Further, any  $A[[H]]$ -linear morphism of finitely generated  $A[[H]]$ -modules is continuous with respect to the profinite topologies defined above.

*Proof.* Since  $A[[H]]$  is Noetherian, we can find a presentation

$$\begin{array}{ccccc} A[[H]]^s & \longrightarrow & A[[H]]^r & \xrightarrow{\pi} & M \longrightarrow 0 \\ & \searrow & \swarrow & & \\ & & \ker(\pi) & & \end{array}$$

(induction on number of generators shows that any submodule of a finitely generated module is finite, so  $\ker(\pi)$  is finitely generated).

As  $A[[H]]$  is profinite,  $A[[H]]^s \rightarrow A[[H]]^r$  has closed image  $N$  and hence  $M = A[[H]]^r / N$  is the quotient of the profinite module  $A[[H]]^r$  by a closed  $A[[H]]$ -submodule and if we equip  $M$  with the induced quotient topology then it becomes a profinite module with the desired properties.  $\square$

**(3.5) Definition** (Canonical topology). If  $M$  is a finitely generated  $A[[H]]$ -module, we refer to the topology given before as the *canonical topology* on  $M$ .

**(3.6) Definition** (Augmented representation). An *augmented representation of  $G$  over  $A$*  is  $M \in \text{Mod}_G(A)$  equipped with an  $A[[H]]$ -module structure for some (equiv. any) compact open  $H \leq G$  such that the two induced  $A[H]$ -actions induced by the inclusions  $A[H] \subset A[[H]]$  and  $A[H] \subset A[G]$  coincide.

We denote by  $\text{Mod}_G^{\text{aug}}(A)$  the abelian *category of augmented  $G$ -representations over  $A$*  with morphism maps that are simultaneously  $G$ -equivariant and  $A[[H]]$ -linear for some (equiv. any) compact  $H \leq G$ .

**(3.7) Definition** (Profinite augmented representation). If  $M \in \text{Mod}_G^{\text{aug}}(A)$  is equipped with a profinite topology such that the  $A[[H]]$ -action on  $M$  is jointly continuous for some (equiv. any) compact open  $H \leq G$  over  $A$ , then we say that  $M$  is a *profinite augmented representation of  $G$  over  $A$* .

(Equivalently, the profinite topology on  $M$  admits a neighbourhood basis at the origin consisting of  $A[[H]]$ -submodules.)

We denote by  $\text{Mod}_G^{\text{proaug}}(A)$  the abelian *category of profinite augmented  $G$ -representations over  $A$*  with morphisms the continuous  $A$ -linear  $G$ -equivariant maps ( $A[H]$  is dense in  $A[[H]]$ ) so any such map is automatically  $A[[H]]$ -linear for any compact open  $H \leq G$ .

**(3.8) Remark.** The equivalence of the “some” and “any”s come from the fact that if  $H_1, H_2 \leq G$  are open, compact, then  $H_1 \cap H_2$  has finite index in each of  $H_1$  and  $H_2$ .

**(3.9) Remark.** Forgetting the topology gives a functor

$$\text{Mod}_G^{\text{proaug}}(A) \xrightarrow{\text{forget topology}} \text{Mod}_G^{\text{aug}}(A)$$

**(3.10) Definition** (Finitely generated augmented representations). We let  $\text{Mod}_G^{\text{fgaug}}(A)$  denote the full subcategory of  $\text{Mod}_G^{\text{aug}}(A)$  consisting of augmented  $G$ -modules that are finitely generated over  $A[[H]]$  for some (equiv. any) compact open  $H \leq G$ .

**(3.11) Remark.** (3.4) shows that equipping each object of  $\text{Mod}_G^{\text{fgaug}}(A)$  with its canonical topology means that we can lift  $\text{Mod}_G^{\text{fgaug}}(A) \hookrightarrow \text{Mod}_G^{\text{aug}}(A)$  to an inclusion

$$\text{Mod}_G^{\text{fgaug}}(A) \xrightarrow{\text{canonical topology}} \text{Mod}_G^{\text{proaug}}(A).$$

**(3.12) Definition** (Serre subcategory). A full subcategory  $\mathcal{D}$  of an abelian category  $\mathcal{C}$  is a *Serre subcategory* if for any SES in  $\mathcal{C}$

$$\begin{aligned} 0 \rightarrow M \rightarrow M' \rightarrow M'' \rightarrow 0, \\ M' \in \mathcal{D} \iff M, M'' \in \mathcal{D}. \end{aligned}$$

In our case this just means that it is closed under taking subobjects, quotients and extensions.

**(3.13) Proposition.** The category  $\text{Mod}_G^{\text{fgaug}}(A)$  forms a Serre subcategory of the abelian category  $\text{Mod}_G^{\text{aug}}(A)$ . In particular, it itself forms an abelian category.

*Proof.* Closure under the formation of quotients and extensions is clear, and closure under the formation of subobjects follows from the fact that  $A[[H]]$  is Noetherian.  $\square$

**(3.14) Notation.** Let  $A_{\mathbb{Q}_p} = \mathbb{Q}_p \otimes_{\mathbb{Z}_p} A$  and  $A[[H]]_{\mathbb{Q}_p} = \mathbb{Q}_p \otimes_{\mathbb{Z}_p} A[[H]]$ .

**(3.15) Remark.** The fact that  $A[[H]]$  is Noetherian implies that  $A[[H]]_{\mathbb{Q}_p}$  is Noetherian as well.

**(3.16) Definition.** An *augmented representation of  $G$  over  $A_{\mathbb{Q}_p}$*  is an  $A_{\mathbb{Q}_p}[G]$ -module  $M$  equipped with an  $A[[H]]_{\mathbb{Q}_p}$ -module structure for some (equiv. any) compact open  $H \leq G$  such that the two  $A_{\mathbb{Q}_p}[H]$  actions induced by  $A_{\mathbb{Q}_p}[H] \subset A[[H]]_{\mathbb{Q}_p}$  and  $A_{\mathbb{Q}_p}[H] \subset A_{\mathbb{Q}_p}[G]$ .

**(3.17) Definition.** We let  $\text{Mod}_G^{\text{fg aug}}(A_{\mathbb{Q}_p})$  denote the *category of augmented  $G$ -modules over  $A_{\mathbb{Q}_p}$  that are finitely generated over  $A[[H]]_{\mathbb{Q}_p}$*  for some (equiv. any) compact open  $H \leq G$  and whose morphisms are  $A_{\mathbb{Q}_p}[G]$  and  $A[[H]]_{\mathbb{Q}_p}$ -linear.

**(3.18) Lemma.** The functor  $M \mapsto \mathbb{Q}_p \otimes_{\mathbb{Z}_p} M$  induces an equivalence of categories

$$\text{Mod}_G^{\text{fg aug}}(A)_{\mathbb{Q}_p} \xrightarrow{\sim} \text{Mod}_G^{\text{fg aug}}(A_{\mathbb{Q}_p})$$

## 4 Smooth $G$ -representations

**(4.1) Definition (Smooth representation).** Let  $V \in \text{Mod}_G(A)$ . We say that a vector  $v \in V$  is *smooth* if

1.  $v$  is fixed by some open subgroup of  $G$ ,
2.  $v$  is annihilated by some power  $\mathfrak{m}^i$  of the maximal ideal of  $A$ .

Equivalently,  $v \in V$  is smooth iff  $v$  is annihilated by an open ideal in  $A[[H]]$  for some (equiv. any) open  $H \leq G$ .

We let  $V_{\text{sm}} = \{v \in V \mid v \text{ is smooth}\}$  and we say that  $V \in \text{Mod}_G(A)$  is a *smooth representation* if  $V = V_{\text{sm}}$ .

We denote by  $\text{Mod}_G^{\text{sm}}(A)$  the full subcategory of  $\text{Mod}_G(A)$  consisting of smooth  $G$ -representations.

(We can equivalently let  $\text{Mod}_G^{\text{sm}}(A)$  denote the full subcategory of  $\text{Mod}_G(A)$  with objects  $V = \bigcup_{H \text{ open}, i \geq 1} V^H[\mathfrak{m}^i]$ .)

**(4.2) Remark.** If  $A$  is Artinian, then  $\mathfrak{m}^i = 0$  for sufficiently large  $i$ , so automatically  $\mathfrak{m}^i v = 0$  for any  $v \in V$  for any  $V$  an  $A$ -module. Then we can omit condition (2) and have the usual definition.

**(4.3) Example.** Take a character  $\chi : \mathbb{Q}_p^\times \rightarrow \mathbb{C}^\times$ . Then the representation  $\text{GL}_n(\mathbb{Q}_p) \xrightarrow{\det} \mathbb{Q}_p^\times \xrightarrow{\chi} \mathbb{C}^\times$  is smooth iff  $\chi$  is locally constant (trivial on some open subgroup of  $\mathbb{Q}_p^\times$  (i.e.  $\chi|_{1+p^r\mathbb{Z}_p}$  is trivial for some  $r$ )).

**(4.4) Lemma.**  $V_{\text{sm}}$  is an  $A[G]$ -submodule of  $V$ .

*Proof.* If  $v_j \in V_{\text{sm}}$  are fixed by open  $H_j \leq G$  and annihilated by  $\mathfrak{m}^{i_j}$  for  $j = 1, 2$ , then any  $A$ -linear combination of  $v_1$  and  $v_2$  is fixed by  $H_1 \cap H_2$  and annihilated by  $\mathfrak{m}^{\max(i_1, i_2)}$  and hence also lies in  $V_{\text{sm}}$  so  $V_{\text{sm}}$  is an  $A$ -submodule.

Further,  $gv_1$  is fixed by  $gH_1g^{-1} \leq G$  for any  $g \in G$  and is annihilated by  $\mathfrak{m}^{i_1}$ , so  $V_{\text{sm}}$  is closed under the action of  $G$ . □

**(4.5) Remark.**  $\text{Mod}_G^{\text{sm}}(A)$  is a Serre subcategory of  $\text{Mod}_G(A)$  and so in particular is an abelian category.

**(4.6) Remark.** There is a left-exact functor

$$\text{Mod}_G(A) \xrightarrow{V \mapsto V_{\text{sm}}} \text{Mod}_G^{\text{sm}}(A)$$

which is right adjoint to the inclusion

$$\text{Mod}_G^{\text{sm}}(A) \hookrightarrow \text{Mod}_G(A)$$

$i^{-1}(-)_{\text{sm}} (\text{Hom}_G(V, W) \cong \text{Hom}_G(V, W_{\text{sm}})$  for all  $V, W$  with  $W$  smooth).

The following is easy to prove and useful.

**(4.7) Lemma.** Let  $V \in \text{Mod}_G(A)$  by torsion as an  $\mathbb{Z}_p$ -module (holds if  $A$  is Artinian) and let  $V^* = \text{Hom}_{\mathbb{Z}_p}^{\text{cont}}(V, \mathbb{Q}_p/\mathbb{Z}_p)$  (where  $\mathbb{Q}_p/\mathbb{Z}_p$  has the discrete topology and  $V^*$  has the compact open topology). The following are equivalent

1.  $V$  is smooth.
2. The  $A$ -action and the  $G$ -action on  $V$  are both jointly continuous (i.e.  $A \times V \rightarrow V$  and  $G \times V \rightarrow V$  are both continuous where  $A \times V$  and  $G \times V$  have the product topology and  $A$  as the  $m$ -adic topology) when  $V$  is given the discrete topology.
3. The  $A$ -action and the  $G$ -action on  $V^*$  are both jointly continuous when  $V^*$  is given its natural profinite topology and  $A$  is given the  $m$ -adic topology.
4. For some (equiv. any) compact open  $H \leq G$ , the  $A[H]$ -action on  $V$  extends to an  $A[[H]]$ -action continuous when  $V$  has the discrete topology.
5. For some (equiv. any) compact open  $H \leq G$ , the  $H$ -action on  $V^*$  extends to a continuous action of  $A[[H]]$  on  $V^*$ .

**(4.8) Remark.** (4.7) implies that passing to Pontrjagin duals gives an anti-equivalence

$$\begin{aligned} \text{Mod}_G^{\text{sm}}(A) &\xrightarrow{\text{anti} \sim} \text{Mod}_G^{\text{proaug}}(A) \\ V &\mapsto V^*. \end{aligned} \tag{1}$$

(Note  $V^{**} = V$ .)

## 5 Induced representations

**(5.1) Definition** (Induced representation). Let  $H \leq G$  be closed and let  $V \in \text{Mod}_H^{\text{sm}}(A)$ . Then we can define the *induced representation*

$$\begin{aligned} \text{Ind}_H^G(V) &:= \left\{ f : G \rightarrow V \mid \begin{array}{l} f(hg) = hf(g) \forall h \in H, g \in G \text{ and} \\ \exists U \text{ compact open with } f(gu) = f(g) \forall g \end{array} \right\} \\ &= \{f : G \rightarrow V \mid f(hg) = hf(g) \forall h \in H, g \in G\}_{\text{sm}} \end{aligned}$$

with  $G$ -action  $(\gamma \cdot f)(g) = f(g\gamma)$ .

We can also define the *compact induction*

$$c - \text{Ind}_H^G(V) = \left\{ f \in \text{Ind}_H^G(V) \mid \text{supp}(f) \text{ compact} \right\},$$

a subrepresentation of  $\text{Ind}_H^G(V)$ .

In the case that  $H$  is a parabolic subgroup of  $\text{GL}_n$ , and more generally if  $H \setminus G$  is compact,  $c - \text{Ind}_H^G = \text{Ind}_H^G$ .

**(5.2) Remark** (Frobenius reciprocity). Say  $V \in \text{Mod}_G^{\text{sm}}(A)$ ,  $W \in \text{Mod}_H^{\text{sm}}(A)$ . Then

1.  $\text{Hom}_G(V, \text{Ind}_H^G W) \cong \text{Hom}_H(V|_H, W)$  naturally.
2. If  $U \leq G$  is open, then  $\text{Hom}_G(c - \text{Ind}_U^G W, V) \cong \text{Hom}_U(W, V|_U)$ . Moreover,  $c - \text{Ind}_U^G$  is an exact functor.
3. If  $G = \text{GL}_n(\mathbb{Q}_p)$  and  $P$  is a standard parabolic,  $\text{Ind}_P^G$  is an exact functor.

**(5.3) Remark.** If  $K \subset H \subset G$ , then  $\text{Ind}_H^G \text{Ind}_K^H \cong \text{Ind}_K^G$ , and similarly for  $c - \text{Ind}$ .

**(5.4) Definition** (Parabolic Induction). If  $P$  is a parabolic subgroup, we can define *parabolic induction*  $\text{Ind}_P$ :

$$\begin{array}{ccc} \text{Mod}_M^{\text{sm}}(A) & \xrightarrow{\text{Ind}_P} & \text{Mod}_G^{\text{sm}}(A) \\ \text{inflate} \downarrow & \nearrow & \\ \text{Mod}_P^{\text{sm}}(A) & & \end{array}$$

where the inflation is given by taking the action as the identity on  $N$  and noting  $M \cong P/N$ .

**(5.5) Example.** Take  $G = \text{GL}_2(\mathbb{Q}_p)$ . Let  $\chi_1, \chi_2 : \mathbb{Q}_p^\times \rightarrow \mathbb{C}^\times$  be smooth so we get  $\chi_1 \otimes \chi_2 : T \xrightarrow{\text{diag}(a,b) \mapsto \chi_1(a)\chi_2(b)} \mathbb{C}^\times$ . Take  $B \rightarrow T$  with kernel  $N$ . Then we can extend  $\chi_1 \otimes \chi_2$  to  $B$  in the obvious way and take  $\text{Ind}_B^G(\chi_1 \otimes \chi_2)$ , the *principal series representation*.

## 6 Admissible representations

**(6.1) Definition** (Admissible representations). We say that  $V \in \text{Mod}_G^{\text{sm}}(A)$  is *admissible* if  $V^H[\mathfrak{m}^i]$  (the elements of the subspace of fixed vectors  $V^H$  of  $V$  killed off by  $\mathfrak{m}^i$ ) is finitely generated over  $A$  for every open  $H \leq G$  and every  $i \geq 0$ .

We let  $\text{Mod}_G^{\text{adm}}(A)$  denote the full subcategory of  $\text{Mod}_G^{\text{sm}}(A)$  consisting of admissible representations.

**(6.2) Remark.** If  $A$  is Artinian, then  $\mathfrak{m}^i = 0$  for large  $i$  so  $V^H[\mathfrak{m}^i] = V^H$  so this agrees with the usual definition (that  $V^H$  is finite dimensional for all  $H \leq G$  open compact).

**(6.3) Fact.**  $V \in \text{Mod}_G^{\text{sm}}(A)$  is admissible iff  $V^*$  is finitely generated as an  $A[[H]]$ -module for some (equiv. any) compact open  $H \leq G$ .

**(6.4) Remark.** (6.3) shows that the anti-equivalence (1) restricts to an anti-equivalence

$$\begin{array}{ccc} \text{Mod}_G^{\text{adm}}(A) & \xrightarrow{\text{anti}\sim} & \text{Mod}_G^{\text{fg aug}}(A) \\ & & V \mapsto V^*. \end{array} \quad (2)$$

**(6.5) Proposition.**  $\text{Mod}_G^{\text{adm}}(A)$  forms a Serre subcategory of the abelian category  $\text{Mod}_G(A)$  and in particular is itself an abelian category.

*Proof.* This follows from the anti-equivalence (2) and (3.13).  $\square$

**(6.6) Remark.** Let  $V \in \text{Mod}_G(A)$  and  $i \geq 0$ . Then  $V[m^i]$  is a successive extension of finitely many copies of  $V[m]$ . Therefore by (6.5), if  $V \in \text{Mod}_G^{\text{sm}}(A)$  is such that  $V[m] \in \text{Mod}_G^{\text{adm}}(A/m)$  (equivalently if the definition for admissibility is assumed just in the case  $i = 1$ ), then  $V \in \text{Mod}_G^{\text{adm}}(A)$ .

**(6.7) Fact.** If  $H \setminus G$  is compact, then  $\text{Ind}_H^G$  preserves admissibility.

**(6.8) Definition** (Locally admissible representations). Let  $V \in \text{Mod}_G(A)$ . We say that  $v \in V$  is *locally admissible* if  $v$  is smooth and if the smooth  $G$ -subrepresentation of  $V$  generated by  $v$  is admissible.

Let  $V_{\text{l.adm}} = \{v \in V \mid v \text{ locally admissible}\}$ .

We say that  $V \in \text{Mod}_G(A)$  is *locally admissible* if  $V = V_{\text{l.adm}}$  and we let  $\text{Mod}_G^{\text{l.adm}}(A)$  denote the full subcategory of  $\text{Mod}_G^{\text{sm}}(A)$  consisting of locally admissible representations.

**(6.9) Lemma.**  $V_{\text{l.adm}}$  is an  $A[G]$ -submodule of  $V$ .

**(6.10) Proposition.**  $\text{Mod}_G^{\text{l.adm}}(A)$  is closed under passing to subrepresentations, quotients, and inductive limits in  $\text{Mod}_G^{\text{sm}}(A)$ . In particular, it is an abelian category.

*Proof.*  $\text{Mod}_G^{\text{adm}}(A)$  is closed in  $\text{Mod}_G^{\text{sm}}(A)$  under passing to subrepresentations and quotients so the same is true for  $\text{Mod}_G^{\text{l.adm}}(A)$ . Closure under inductive limits is also clear since local admissibility is checked elementwise.  $\square$

**(6.11) Remark.** Any finitely generated locally admissible representation is admissible smooth.

Since any representation is the inductive limit of finitely generated ones, a representation is locally admissible iff it is an inductive limit of admissible representations.

**(6.12) Remark.** There is a left-exact functor

$$\text{Mod}_G(A) \xrightarrow{V \mapsto V_{\text{l.adm}}} \text{Mod}_G^{\text{l.adm}}(A)$$

which is right adjoint to the inclusion

$$\text{Mod}_G^{\text{l.adm}}(A) \hookrightarrow \text{Mod}_G(A).$$

$i \dashv (-)_{\text{l.adm}}$ .



## 7 Relations

Now we can summarise the relations in the following diagram:

$$\begin{array}{ccccc}
 & & \text{Mod}_G(A) & & \\
 & & \uparrow \scriptstyle{(\cdot)_{\text{sm}} \left( \uparrow \right)} & & \\
 (\cdot)_{\text{l.adm}} \left( \uparrow \right) & \vdash & \text{Mod}_G^{\text{sm}}(A) & \xleftarrow[\substack{\sim \\ V \mapsto V^*}]{\text{anti}} & \text{Mod}_G^{\text{pro aug}}(A) & \xrightarrow{\text{forget topology}} & \text{Mod}_G^{\text{aug}}(A) \\
 & & \uparrow & & \uparrow \scriptstyle{\text{canonical topology}} & & \nearrow \\
 & & \text{Mod}_G^{\text{l.adm}}(A) & & & & \\
 & & \uparrow & & & & \\
 & & \text{Mod}_G^{\text{adm}}(A) & \xleftarrow[\substack{\sim \\ V \mapsto V^*}]{\text{anti}} & \text{Mod}_G^{\text{fg aug}}(A) & & 
 \end{array}$$

## 8 Some finiteness conditions

Let  $Z$  denote the centre of  $G$ .

**(8.1) Definition** ( $Z$ -finite representations). Let  $V \in \text{Mod}_G(A)$ .

1. We say that  $V$  is  *$Z$ -finite* if  $A[Z]/\text{Ann}_{A[Z]} V$  is a finite  $A$ -algebra.
2. We say that  $v \in V$  is *locally  $Z$ -finite* if the  $A[Z]$ -submodule of  $V$  generated by  $v$  is  $Z$ -finite (equivalently it is finitely generated as an  $A$ -module). Let  $V_{Z\text{-fin}}$  denote the subset of locally  $Z$ -finite elements of  $V$ .
3. We say that  $V$  is locally  $Z$ -finite over  $Z$  if  $V = V_{Z\text{-fin}}$ .

**(8.2) Remark.**  $V_{Z\text{-fin}}$  is an  $A[G]$ -submodule of  $V$  and if  $V_1, V_2$  are (locally)  $Z$ -finite then  $V_1 \oplus V_2$  is (locally)  $Z$ -finite. Further, any  $A[G]$ -invariant submodule or quotient of a (locally)  $Z$ -finite representation is again (locally)  $Z$ -finite.

**(8.3) Remark.** If  $V \in \text{Mod}_G(A)$  is finitely generated over  $A[G]$ , then  $V$  is  $Z$ -finite iff  $V$  is locally  $Z$ -finite.

(If  $V$  is generated by finitely many locally  $Z$ -finite vectors, then it is a quotient of a direct sum of finitely many  $Z$ -finite representations so is  $Z$ -finite.)

**(8.4) Lemma.** If  $V \in \text{Mod}_G(A)$ , then any locally admissible vector in  $V$  is locally  $Z$ -finite ( $V_{\text{l.adm}} \subset V_{Z\text{-fin}}$ ).

*Proof.* This is just working through the definitions.

Let  $v \in V$  be smooth locally admissible. Then, by smoothness, there is  $H \leq G$  compact open fixing  $v$  and there is  $i \geq 0$  with  $\mathfrak{m}^i v = 0$ .

Let  $W$  be the  $A[G]$ -submodule generated by  $v$ . Then  $W$  is admissible smooth and  $\mathfrak{m}^i = 0$  so  $W^H = W^H[\mathfrak{m}^i]$  is finitely generated over  $A$ . Also,  $W^H$  is  $Z$ -invariant ( $h \cdot z \cdot w = z \cdot h \cdot w = z \cdot w$ ) so  $W^H / \text{Ann}_{A[Z]} W^H$  is finitely generated over  $A$  and we are done.  $\square$

**(8.5) Remark.** The previous remark and lemma imply that if  $V \in \text{Mod}_G^{\text{adm}}(A)$  is finitely generated over  $A[G]$ , then it is  $Z$ -finite.

**(8.6) Lemma.** Let  $V \in \text{Mod}_G^{\text{sm}}(A)$ . Consider the following conditions:

1.  $V$  is of finite length (as an object of  $\text{Mod}_G^{\text{sm}}(A)$ , or equivalently of  $\text{Mod}_G(A)$ ) (has finite composition series) and is admissible.
2.  $V$  is finitely generated as an  $A[G]$ -module and is admissible.
3.  $V$  is of finite length (as an object of  $\text{Mod}_G^{\text{sm}}(A)$ ) and is  $Z$ -finite.

Then (1)  $\Rightarrow$  (2) (immediate) and (1)  $\Rightarrow$  (3) (previous remark).

**(8.7) Conjecture.** If  $G$  is a reductive  $p$ -adic group, then the three conditions in (8.6) are equivalent.

**(8.8) Theorem.** The previous conjecture holds in the following two cases:

- $G$  is a torus.
- $G = \text{GL}_2(\mathbb{Q}_p)$ .

**(8.9) Definition.** Let  $Z$  be the centre of  $G$  and  $\zeta : Z \rightarrow A^\times$  be a continuous character. We denote by  $\text{Mod}_{G,\zeta}^*(A)$  the full subcategory of  $\text{Mod}_G^*(A)$  consisting of objects on which  $Z$  acts by  $\zeta$ , that is,  $zv = \zeta(z)v$  for all  $z \in Z$ .

**(8.10) Fact.** If  $G = \text{GL}_2(\mathbb{Q}_p)$  or  $G$  is a torus, then

$$\text{Mod}_{G,\zeta}^{\text{l.fin}}(A) = \text{Mod}_{G,\zeta}^{\text{l.adm}}(A).$$